

North Moreton Parish Council

Risk Management Checklist September 2011

Description of Risk	Action to avoid	By	When	Check that done	
				Signature	Date
Precept - not agreed	Discuss at meeting	Chair	Nov mtg		
not requested	Clerk to request	Clerk	Jan mtg		
Audit and financial control					
Reserves - too high or low	Review at meeting	Clerk	May mtg		
Budget - forgotten or late	check that issued/approved	Chair	Nov mtg		
Audit trail - payments agreed and minuted etc.	Check details on latest financial statement	Chair	Each meeting		
General check on accounts - invoices issued, receipts banked, cheque stubs signed, books up to date	Check	Chair	Nov/May outside meetings		
VAT - unclaimed	Claim at least annually	Clerk	March		
Internal auditor - not identified	Propose January, confirm March	Clerk	Jan / Mar		
Assets - forgotten or wrongly valued	Review at meeting	Clerk	May		
Register of Interests – up to date?	Has something changed?	Clerk	Each meeting		
Key documents - lost or mislaid	Display at APM Publish index of who holds what	Clerk Clerk	March March PC		
Planning - not under control	Update Planning summary form	Clerk	Each meeting		
Insurance - adequate	Review at meeting	Clerk	May		
- paid	Cross-check	Chair	July		
Policies - not legal	Review and draw attention	Clerk	As needed		
Playground - RoSPA audit	Confirm done/OK	Clerk	July		